

HFA Privacy and Security Required Disclosures

Protecting your privacy is important to us at **Hippocratic Financial Advisors, LLC**. We take precautions to ensure that your information is kept private and confidential. To assist us in providing you the quality services and products that you request or to help meet your needs, we gather, maintain, and use both public and nonpublic personal information about you. This notice describes our policies and practices for collecting, sharing, and protecting your information.

Facts: What does Hippocratic Financial Advisors, LLC do with your personal information?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some -but not all- sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information.

What?

We collect nonpublic personal information about you from the following sources:

- **Information you provide on applications and other forms** (such as Social Security number, driver's license, income, or investment objectives)
- **Information about your transactions with us, our affiliates, or others** (such as account balances, trading activity, and account history).
- **Information from third parties** (such as custodians, broker-dealers, credit bureaus, or other companies)

"Nonpublic personal information" means personally identifiable (PI) financial and other related information that is not available from public sources. If your customer relationship with us terminates, or if you become an inactive customer, our privacy policy will continue to apply to you.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Hippocratic Financial Advisors, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does HFA share?	Can you limit this sharing?
For our everyday business purposes , such as process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	yes	no
For our marketing purposes to offer our products and services to you	yes	no
For joint marketing with other financial companies	no	we don't share
For our affiliates' everyday business purposes (Information about your transactions and experiences)	yes	no
For our affiliates' everyday business purposes (Information about your creditworthiness)	yes	yes

For affiliates to market to you	no	we don't share
For non-affiliates' everyday business purposes	yes	yes
For non-affiliates to market to you	no	we don't share

Who we are

This notice is provided by Hippocratic Financial Advisors, LLC.

What We Do

How does HFA protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including:</p> <ul style="list-style-type: none"> • Secured files and buildings. • Secure computer systems and encrypted data transmission • Multi-factor authentication and role-based access controls • Confidentiality agreements with employees and service providers • Cybersecurity monitoring and vendor due diligence <p>HFA also maintains an Identity Theft Prevention Program (Regulation S-ID) designed to detect, prevent, and mitigate identity theft</p>
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How does HFA collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Seek advice about your investments • Enter into an investment advisory agreement • Tell us about your investment or retirement portfolio <p>We also collect your personal information from others such as credit bureaus, affiliates, or other companies.</p>
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Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes - information about your creditworthiness. • Affiliates from using your information to market to you. • Sharing for non-affiliates to market to you. <p>State laws and individual companies may give you additional rights to limit sharing. (See below for more on your rights under state law)</p>
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Definitions

Affiliates	<i>Companies related by common ownership or control. They can be financial and nonfinancial companies.</i>
Non-Affiliates	<i>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</i>

**Joint Marketing**

A formal agreement between non-affiliated financial companies that together market financial products or services to you.

Data retention

We retain personal information as required by law, typically for at least five years after the end of the client relationship.

Annual Privacy Policy

We will send you a copy of this Privacy Notice annually, as required by federal law, and whenever our practices materially change.

Other Important Information**Information for California Customers:**

California residents have the right to restrict certain sharing of personal and financial information with our affiliates and outside companies that we do business with. Unless you request otherwise, we may share personal and financial information about you with our affiliated companies and with outside companies we may contract to provide financial products and services to you.

You may exercise your rights by contacting us at:

Phone: 866-447-2380

Email: support@hippocratic.com